

# Outline

## Understand the Legal System

- Statutes
- Rules and regulations
- Case law
- Finding the law



## Legal Exposure

- Criminal liability
- Civil liability
- Licensing complaints
- Organization complaints

## Establish the Treatment Relationship

- The professional relationship
- The legal and ethical principle of informed consent
- Informed consent as part of the treatment modality
- Use of and reliance upon written consent forms

## Record-Keeping Practices

- Record-keeping regulations
- Items which constitute the record, personal notes vs. charting, raw data, computer printouts and third-party records
- Access to records by written requests, subpoenas and court orders

## The Ethics of Duty to Warn

- Duty to warn potential third-party victims
- Review of specific Wisconsin case law governing danger to others
- A clinical approach to the duty to protect

## Treatment of Minors

- Age of majority
- Consent to treatment and access to records
- Clinical implications in the treatment of the older adolescent
- Treatment of minors in situations of family separation and divorce

## Non-Sexual Boundary Violations

- Email correspondence and cell phone contacts
- Treatment vs. advocacy for patients
- Professional contacts with attorneys and the legal profession
- In-court expert testimony

### Live Webinar Schedule

(Times listed in Central)

**8:30** Program begins

**12:00-12:30** Lunch Break

**3:45** Program ends

There will be two 15-min breaks (mid-morning & mid-afternoon). Actual lunch and break start times are at the discretion of the speaker. A more detailed schedule is available upon request.

Are you looking to gain new clients or showcase your expertise?

Stand out with a **FREE listing** on today!

Register for FREE when you go to: [therapist.com/free](http://therapist.com/free)

NON-PROFIT ORGANIZATION U.S. POSTAGE PAID EAU CLAIRE, WI PERMIT NO. 32729

**Group Training Solutions Made Easy!**

- ONLINE or in-person
- Customizable
- Easy remote access
- From 5 to 5000
- CE hours available

[www.pesi.com/inhouse](http://www.pesi.com/inhouse)

PESI, Inc. P.O. Box 1000 Eau Claire, WI 54702-1000

# Objectives

1. Identify specific state and administrative laws that are pertinent to establishing and maintaining an ethical clinical practice.
2. Determine potential nonsexual boundary violations.
3. Explain which notes, documents, reports, forms, and clinical data constitute an official medical record.
4. Evaluate your current record-keeping practices in order to ensure compliance with ethical and legal requirements.
5. List the treatment provider's responsibilities relating to mental health issues and duty to warn law.
6. Analyze the legal and ethical considerations for the treatment of minor populations with regard to age of consent, confidentiality and custody concerns.

Ethical Principles in the Practice of *Wisconsin* Mental Health Professionals

Featuring **Allan M. Tepper, Attorney and Psychologist**

**2023 UPDATES**

**6.25 CE Hours of Ethics**

**LIVE Interactive Webinar**  
**Friday, February 10, 2023**

**BONUS!** – Registration includes FREE on-demand access for 3 months.

**REGISTER NOW:**  
[pesi.com/webcast/88328](http://pesi.com/webcast/88328)

Join us online for this live training!

Ethical Principles in the Practice of *Wisconsin* Mental Health Professionals

Featuring **Allan M. Tepper, Attorney and Psychologist**

- Make ethics meaningful, practical & helpful
- Apply ethics to everyday practice
- What every Wisconsin mental health professional needs to know!

**6.25 CE Hours of Ethics**

**2023 UPDATES**

*"This was probably the most rewarding day-long seminar I have ever attended; the supplemental readings were most appreciated."*

**Live Interactive Webinar**  
**Friday, February 10, 2023**

**BONUS!** – Registration includes FREE on-demand access for 3 months.

**REGISTER NOW:**  
[pesi.com/webcast/88328](http://pesi.com/webcast/88328)

A Non-Profit Organization Connecting Knowledge with Need Since 1979

# Ethical Principles in the Practice of Wisconsin Mental Health Professionals

This intermediate level program focuses on ethical, legal, statutory or regulatory policies, guidelines and standards that impact psychological practice and explores the manner by which clinical and ethical issues affect the everyday practice of the mental health professional in Wisconsin.

**“This was an extremely relevant program provided by a very knowledgeable presenter who provided very clear and thorough explanations. The fact that the presentation was state-specific was its most valuable aspect.”**

A licensed and practicing psychologist and attorney, Dr. Allan Tepper’s blend of clinical and legal experience allows for a deeper understanding and interpretation of the rules that govern mental health practice in Wisconsin and allows the attendee to identify specific state laws that affect and govern their practice. Through lecture, real case examples, a review of references including the APA Ethical Principles of Psychologists and Code of Conduct and the seminar

manual with state specific policies and regulations; clinicians attending the course will be able to write policies for their practice, explain the content of medical records requirements and analyze their practice’s compliance with state specific ethical and legal requirements. This course will help the practicing clinician to evaluate their record keeping practices and determine which notes and documents are required for medical records to be compliant. Finally, attendees will discuss the provider’s responsibilities related to confidentiality and duty to warn with both adult and minor populations.

## Target Audience:

Counselors • Social Workers • Psychologists • Case Managers • Addiction Counselors  
Therapists • Marriage & Family Therapists • Other Mental Health Professionals • Nurses



**Risk Free Purchase!** PESI stands by our trainings and we have a 100% satisfaction guarantee. If you are not satisfied, please contact our customer service team at [www.pesi.com/info](http://www.pesi.com/info) or 800-726-3888 and we will make it right.

## PESI Offers Group Discounts!

To save on groups of 5 or more, contact us at [www.pesi.com/info](http://www.pesi.com/info)!

**Questions?** Visit our FAQ page at [www.pesi.com/faq](http://www.pesi.com/faq) or contact us at [www.pesi.com/info](http://www.pesi.com/info).

**Have a seminar idea? A manuscript to publish?** The nation’s top speakers and authors contact PESI first. If you are interested in becoming a speaker or have a new topic idea, please contact **Spencer Jahnke** at [sjahnke@pesi.com](mailto:sjahnke@pesi.com) or call **715-855-8206**.

## Meet Your Speaker

**Allan M. Tepper, JD, PsyD**, is engaged in the private practice of both law and psychology in Philadelphia, Pennsylvania. As a psychologist, he specializes in forensic matters and has testified on numerous occasions in cases involving civil, criminal, domestic relations, and death penalty issues. As an attorney, he has extensive trial experience, including the representation of mental health professionals in ethics and licensing board proceedings. He has been an adjunct faculty member at Widener University in the Institute for Graduate Clinical Psychology and at the Philadelphia Chapter of Great Lakes Colleges Association, and an adjunct assistant professor at Immaculate College, Graduate School. Dr. Tepper frequently conducts ethics training for mental health professionals throughout the United States and is well-known for his engaging style and knowledge of each State’s laws and regulations. He publishes frequently in the areas of law and psychology. He is co-author of *Pennsylvania Law and Psychology – Third Edition*, and *Pennsylvania Law, Ethics, and Psychology – Fourth Edition*. He received his JD degree from Albany Law School and received MS and PsyD degrees in clinical psychology from Hahnemann University in Pennsylvania.

### Speaker Disclosure:

Financial: Dr. Allan Tepper maintains private law and psychology practices. He receives a speaking honorarium and recording royalties from PESI, Inc. He is a published author and receives royalties. He has no relevant financial relationships with ineligible organizations.

Non-financial: Dr. Allan Tepper has no relevant non-financial relationships.

## CE CREDITS AVAILABLE FOR LIVE WEBINAR

For specific credit approvals, details, and planning committee disclosures, please see the “credit approvals and details” URL below. For speaker disclosures, please see speaker bios.

### National CE Credit Approvals For Live Webinar

Credits listed are for full attendance at the live webinar only. The CE certificate can be downloaded/printed after completing the webinar, passing the online post-test (80% passing score), and completing the evaluation. Please see schedule for full attendance start and end times. NOTE: Boards do not allow credit for breaks or lunch.

**Addiction Counselors:** This course has been approved by PESI, Inc., as a NAADAC Approved Education Provider, for 6.0 CE in the Legal, Ethical, and Professional Development skill group. NAADAC Provider #77553. PESI, Inc. is responsible for all aspects of their programming. Full attendance is required; no partial credit will be awarded for partial attendance.

**Counselors:** This intermediate activity consists of 6.25 clock hours of continuing education instruction. Credit requirements and approvals vary per state board regulations. Please save the course outline, the certificate of completion you receive from the activity and contact your state board or organization to determine specific filing requirements.

**Wisconsin Counselors:** CE credit is available. This course consists of 6.25 continuing education clock hours for Wisconsin Counselors. The Wisconsin Department of Safety and Professional Services (MPSW 19) accepts continuing education programs relevant to counseling by approved providers of the Association of Social Work Boards (Sec. 20-195cc-3). Please see social work CE statements for this program.

**Marriage & Family Therapists:** This activity consists of 380 minutes of continuing education instruction. Credit requirements and approvals vary per state board regulations. You should save this course outline, the certificate of completion you receive from the activity and contact your state board or organization to determine specific filing requirements.

**Wisconsin Marriage & Family Therapists:** This course has been submitted to the Wisconsin Association for Marriage and Family Therapy for review. Credit is pending.

**Nurses, Nurse Practitioners, and Clinical Nurse Specialists:** PESI, Inc. is accredited as a provider of nursing continuing professional development by the American Nurses Credentialing Center’s Commission on Accreditation. Nurses in full attendance will earn 6.25 contact hours. Partial contact hours will be awarded for partial attendance.



**Psychologists:** PESI, Inc. is approved by the American Psychological Association (APA) to sponsor continuing education for psychologists. PESI maintains responsibility for this program and its content. PESI is offering this activity for 6.25 hours of continuing education credit. Full attendance is required; no partial credits will be offered for partial attendance. Course Level: Intermediate.



**Social Workers:** PESI, Inc., #1062, is approved to offer social work continuing education by the Association of Social Work Boards (ASWB) Approved Continuing Education (ACE) program. Organizations, not individual courses, are approved as ACE providers. State and provincial regulatory boards have the final authority to determine whether an individual course may be accepted for continuing education credit. PESI, Inc. maintains responsibility for this course. ACE provider approval period: January 27, 2020 - January 27, 2023. Social Workers completing this live interactive with real-time QA course receive 6.25 Ethics continuing education credits.

Course Level: Intermediate. Full attendance is required; no partial credits will be offered for partial attendance.

**Other Professions:** This activity qualifies for 380 minutes of instructional content as required by many national, state and local licensing boards and professional organizations. Save your course outline and certificate of completion, and contact your own board or organization for specific requirements.

**Self-study credit:** To check availability for your profession, go to [www.pesi.com](http://www.pesi.com) or call 800-726-3888 with your licensing board to verify acceptance of self-study credit for license renewal.

\*Content and materials in this course may include interventions and modalities that are beyond the authorized practice of your profession. As a licensed professional, you are responsible for reviewing the scope of practice, including activities that are defined in law as beyond the boundaries of practice in accordance with and in compliance with your profession’s standards.

PESI, Inc. offers continuing education programs and products under the brand names PESI, PESI Healthcare, PESI Kids, PESI Rehab, PESI AU, PESI UK, and Psychotherapy Networker.

For all credit approvals and details, visit: [www.pesi.com/webcast/88328](http://www.pesi.com/webcast/88328)

# SIGN UP-TODAY! Choose your Learning Experience!

PESI offers multiple formats to fit your CE needs

Register Online at: [pesi.com/webcast/88328](http://pesi.com/webcast/88328)

## Live Interactive Webinar (Option 1)

February 10, 2023 [PWZ88327]

**\$219.99** tuition

8:30am Central time

### Live Webinar Experience:

- Participate live in real-time while connecting and collaborating with peers
- Ask the presenter your questions
- Earn a live CE certificate

**FREE BONUS:** Replay on-demand access for 90-days after webinar  
\*Live CE is only available when viewed live

**Get a Group Discount!** Contact us at [pesi.com/info](http://pesi.com/info) to save for groups of 5 or more

## On-Demand Digital Seminar (Option 2)

**\$219.99** [POS060675]

### Digital Seminar Experience:

- Learn at your own pace with 24/7 access from your PESI account
- Access to the program materials
- Enjoy lifetime on-demand access
- Earn a self-study CE certificate

CE hours and approvals may vary from live event. Visit [www.pesi.com](http://www.pesi.com) or call 800-726-3888 to check for availability.

## DVD (Option 3)

**\$219.99** [RNV060675]

### DVD Experience:

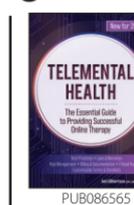
- Self-study CE certificate available
- CE hours, approvals and cost of CE Certificates may vary from live event. Visit [www.pesi.com](http://www.pesi.com) or call 800-726-3888 to check for availability and pricing

## Recommended Reading:



**Telemental Health with Kids Toolbox**  
102 Games, Play and Art Activities,  
Sensory and Movement Exercises,  
and Talk Therapy Interventions  
Dr. Amy Marschall, PsyD

~~\$26.99~~ **\$19.99\***



**Telemental Health**  
The Essential Guide to Providing  
Successful Online Therapy  
Joni Gilbertson, MA, NCC, LCPC, LMHC,  
BC-TMH, CTMH

~~\$24.99~~ **\$19.99\***

\* Discount Included with purchase of the Webinar

## OTHER WAYS TO REGISTER

Phone: 800-726-3888

Fax: 800-554-9775

Mail: PESI, Inc.  
PO Box 1000

Eau Claire, WI 54702-1000

\*If mailing/faxing registration, find form at [www.pesi.com/form](http://www.pesi.com/form) or call 800-726-3888

## ADA NEEDS

We would be happy to accommodate your ADA needs; Please notify us at time of registration.

## QUESTIONS

Visit [pesi.com/faq](http://pesi.com/faq) or contact us at [pesi.com/info](http://pesi.com/info)

## TAXES AND SHIPPING

Taxes and shipping apply where applicable, see website for details

